IFW

Docket No.: 050212-0511



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Yoshinori YAMAMOTO, et al. : Confirmation Number: 6259

Application No.: 10/613,999 : Group Art Unit: 2874

Filed: July 08, 2003 : Examiner: Omar R. Rojas

For: OPTICAL FIBER, DISPERSION COMPENSATOR AND OPTICAL TRANSMISSION

SYSTEM

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

CERTIFICATION PARAGRAPH

10/613,999

The undersigned certifies that each item of information contained in this Information

Disclosure Statement was first cited in a communication from the U.S. patent office in a

counterpart U.S. application not more than three months prior to the filing of this Information

Disclosure Statement as described in 37 CFR 1.97(e)(2).

The reference was cited in a corresponding U.S. office action and its relevance is

discussed therein. A copy of the U.S. office action is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper,

including extension of time fees, to Deposit Account 500417 and please credit any excess fees to

such deposit account.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Please recognize our Customer No. 20277

as our correspondence address.

Arthur J! Steiner

Registration No. 26,106

600 13th Street, N.W. Washington, DC 20005-3096

Phone: 202.756.8000 AJS:ark Facsimile: 202.756.8087

Date: September 11, 2006

2

SHEET 1 OF 1

INFORMATION DISCLOSE ATTY. DOCKET NO. SERIAL NO. 050212-0511 10/613,999 CITATION IN AN **APPLICATION** APPLICANT Yoshinori YAMAMOTO, et al. (PTO-1449) FILING DATE **GROUP** July 08, 2003 2874 **U.S. PATENT DOCUMENTS EXAMINER'S** CITE Document Number **Publication Date** Name of Patentee or Applicant of Cited Pages, Columns, Lines, Where INITIALS MM-DD-YYYY Document Relevant Passages or Relevant Number-Kind Code2 (# known) Figures Appear US 6,711,332 B2 03-23-2004 Hebgen et al. US US บร US FOREIGN PATENT DOCUMENTS **EXAMINER'S** Foreign Patent Document **Publication Date** Name of Patentee or Pages, Columns, Lines Translation INITIALS CITE Country Codes - Number 4 - Kind Applicant of Cited Document Where Relevant MM-DD-YYYY Yes NO. Codes (if known) Figures Appear No OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER'S** Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, INITIALS journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where CITE published. U.S. Office Action issued in corresponding U.S. Patent Application No. 10/347,417 on July 11, 2006 **EXAMINER DATE CONSIDERED**

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.